

**Agenda item: FC 10/18 a), b), c)**

## **Woughton Community Council**

### **Role of the Ambassador**

The Ambassador shall be regarded as the First Citizen of Woughton for civic matters.

- He or She will represent the Parish at Civic and other similar events.
- He or She will receive and welcome civic leaders visiting from other authorities.
- He or She will represent the Parish at local events and activities hosted by local groups and organisations such as fetes, socials, cultural occasions and such like.
- He or She will forge links with local community organisations, schools, faith groups, local businesses, charities and statutory bodies.
- He or she will represent the Parish, when invited at significant occasions concerning individuals in the Parish (for example, weddings, funerals, significant birthdays and anniversaries).

The Ambassador will be supported by a designated Officer at the Community Council and will be proactive in fulfilling the above roles.

The Ambassador will be expected to be non controversial in the execution of their role and avoid making controversial comment.

### **Role of the Leader/Deputy Leader**

The Leader/Deputy will provide the key political leadership to the Council and shall take the lead on all budgetary and financial planning matters other than the formal matters defined for the Accounts Sub Committee.

The Leader/Deputy will be the prime member spokesperson for the Council and will lead representation of the Council on other and to other organisations.

The Leader will liaise with other lead members and senior managers at a corporate level and will make key recommendations following such consultation and liaison with Officers and other lead members.

The Leader/Deputy Leader in particular will ensure a close working relationship with the Council Manager, but will take care not to provide or assume managerial leadership.

The Leader/Deputy Leader will be consulted at draft stage on all reports and agendas for the Council and Committees.

### **Chairs/Vice Chairs**

Chairs and Vice Chairs shall play an important role in relation to their own committee (Council in the case of Council Chair/Vice Chair. They shall be consulted on all such agendas and reports and will provide effective committee leadership.

In consultation with the Leader they shall act as member spokesperson within the terms of reference of their own committee.

They shall liaise closely with the Council Manager or other officer designated by him/her and with the Leader/Deputy Leader of the Council.

The Council Chair in addition will ensure that the Councils Constitution, Standing Orders and other regulations are adhered to and that the highest ethical and moral standards are adhered to.

Cllr Kevin Wilson  
Leader 2017/2018

**Lead Members 2018/2019**

It is the responsibility of the Leader of the Council to propose lead members each year. Ideally this should be at the Annual Meeting of the Council though this is awkward in two respects. Firstly, the same meeting elects a Leader and secondly other positions which may link to lead roles are also not selected until the same evening.

Officers are asked to liaise closely with lead members as referenced in the paper on delegations.

**Lead Member Recommendations relating to position:**

**Leader of the Council**

Budget, Press and Media, Corporate Strategy, Income Generation, External Relations (MKALC, BMKALC and NALC as well as MK Council and other organisations, Policy Development, Medium Term Financial Strategy, Landscaping, full Council Agendas (jointly with the Chair of the Council).

**Chair of the Council**

Constitutional Matters, Member and Officer Relationships, Standards, Neighbourhood Plan, Regeneration, Events (jointly with the Ambassador and where appropriate the Chair of the Services Committee, Full Council Agenda (jointly with the Leader of the Council).

**Chair of the Services Committee**

Youth Services, Environmental Services, Community Services, Advice Service, Grant Aid, Carnival, Woughton Cares, Liaison with Residents Associations and Community Groups.

**Chair of the Operations Committee**

HR Policies, Appraisals (policy relating to) Member and Officer Training and Development, Internal Communications, External Communications except Press and Media, Grievance and Disciplinary Procedures, ICT.

**Chair of the Policy and Resources Committee**

Policy Implementation, Capital programme, Voluntary Sector Liaison, Investments, Treasury Management, Internal and External Audit, Community Centres and Halls.

**Chair of Accounts Sub Committee**

Banking arrangements, Payments, Procurement, Bank Reconciliations, Insurances, Risk Register.

**Ambassador**

Civic Matters, Formal Representation of the Council at Civic Events and Functions, First Citizen, Events (Jointly with the Chair and where appropriate Chair of the Services Committee.

The Deputy Leader and Vice Chairs shall deputise for the above as appropriate except for the Chair of the Accounts Sub Committee where the Chair of P and R shall deputise and the Ambassador where the Chair of the Council shall deputise.

**Line Management**

It is the responsibility of the Council Manager to line manage those that report directly to him/her, and for them to line manage staff within their portfolio. This cascade system may be further delegated.

**The arrangements for the Council Manager are as follows:**

**Chair of the Council**

Appraisal, Disciplinary matters, Incremental Progression, Authorisation of Training, Agreement to leave arrangements etc. Formal Line Management of Council Manager.

**Leader of the Council**

To liaise with the Council Manager on all matters within the purview of the Leader (e.g. Finance, Strategy, Policy Development, Communications, External Liaison etc.)

Cllr Kevin Wilson  
Leader 2017/2018

**Agenda item FC 10/18 d)**

**Woughton Community Council**

**Lead Member Roles**

As Leader for 2017/2018 it is my responsibility to propose the lead member roles for the following year.

The proposals are attached and are unchanged from amended proposals agreed in September 2017.

Lead Members are formally consulted by relevant Officers on all significant matters and certain decisions delegated to Officers can only be implemented following such consultation.

Lead Members are expected to be proactive in their role and to make key recommendations to Council and Committees.

Lead Members cannot themselves take any Executive Decisions, whether delegated to Officers or otherwise. As last year the Lead Member responsibilities are all linked to formal Council positions.

Although the proposed roles are unchanged also attached is a paper outlining in a little more detail the proposed remit of the Leader, Chairs and Ambassador. This is primarily for information.

**Recommendations**

- 1. That the Lead Member Roles as Appended be approved**
- 2. That the paper describing the roles of Chairs, Leader and Ambassador be noted**

Cllr Kevin Wilson  
Leader 2017/2018



Creating Connections, Supporting Communities  
Woughton Community Council

## Agenda item: FC 14/18 Woughton Community Council Calendar of Meetings

**2018 / 2019**

May-18			Jun-18			Jul-18					
Day	Date	Time	Committee	Day	Date	Time	Committee	Day	Date	Time	Committee
Mon	14	6pm	Parish Meeting + AGM	Mon	4	6pm	P and R	Mon	2	6pm	Operations
Mon	21	6pm	Operations	Fri	8	10am	Emergency Plan	Mon	16	6pm	Services
Tue	29	6pm	Services	Mon	11	6pm	FULL COUNCIL	Mon	23	6pm	P and R
				Wed	13	2pm	Accounts Sub	Wed	25	2pm	Accounts Sub
				Mon	25	6pm	Services				
Aug-18			Sep-18			Oct-18					
Day	Date	Time	Committee	Day	Date	Time	Committee	Day	Date	Time	Committee
No Committees in August			Mon	3	6pm	Operations	Mon	1	6pm	P and R	
			Mon	10	6pm	FULL COUNCIL	Wed	10	2pm	Accounts Sub	
			Wed	12	2pm	Accounts Sub	Mon	15	6pm	Services	
			Fri	14	10am	Emergency Plan					
			Mon	17	6pm	Services					
Nov-18			Dec-18			Jan-19					
Day	Date	Time	Committee	Day	Date	Time	Committee	Day	Date	Time	Committee
Mon	5	6pm	Operations	Mon	3	6pm	P and R	Mon	14	6pm	FULL COUNCIL
Mon	12	6pm	FULL COUNCIL	Wed	12	2pm	Accounts Sub	Wed	16	2pm	Accounts Sub
Wed	14	2pm	Accounts Sub	Mon	17	6pm	Services	Mon	21	6pm	Operations
Mon	19	6pm	Services				Mon	28	6pm	Services	
Feb-19			Mar-19			Apr-19					
Day	Date	Time	Committee	Day	Date	Time	Committee	Day	Date	Time	Committee
Mon	4	6pm	P and R	Mon	11	6pm	FULL COUNCIL	Mon	1	6pm	P and R
Wed	13	2pm	Accounts Sub	Wed	13	2pm	Accounts Sub	Wed	10	2pm	Accounts Sub
Mon	18	6pm	Services	Mon	18	6pm	Operations	Mon	15	6pm	Services
				Mon	25	6pm	Services				

**Woughton Community Council**

**Operations Committee**

**Terms of Reference and Delegated Powers**

1. The Committee is a committee of the Full Council and shall operate within the terms of reference set by Woughton Community Council.
2. The Committee shall also be known by its shorter title of 'Operations Committee'
3. The quorum shall consist of 3 (three) members.
4. The Committee may co-opt any person who is not a member or officer of Woughton Community Council and shall have no voting rights. Each appointment will be reviewed at the annual meeting of Council in May.
5. The Committee shall form dismissal level disciplinary panels and any subsequent appeals panels which will consist of 3 members.
6. The Committee shall receive updates on legislation changes that may affect HR policies.
7. The Committee shall review pay and conditions of employment in line with JNC guidelines.
8. The Committee shall review the HR policy, procedures and priorities from time to time and shall make appropriate recommendations to the Council.
9. The Committee shall agree members' attendance at conferences, seminars and Training Events
10. The Committee shall consider the training and development needs of both members and staff
11. The Committee will consider Union Recognition agreements and ensure arrangements for a joint Negotiating and Consultation Committee with such recognised Trade Unions
12. The Committee shall ensure arrangements are in place for an appraisal system of staff
13. The Committee will consider and review arrangements for the following areas: Health and Safety, Safeguarding, Lone Working, Internal and External Communications, Retirement Policies, Payroll Services, Recruitment Policies and other similar and related matters

**Adopted by a meeting of The Council on Monday 14<sup>th</sup> May 2018**

**Agenda item FC 15/18 (b)**

**Woughton Community Council**

**Policy and Resources Committee**

**Terms of Reference and Delegated Powers**

1. The Policy and Resources Committee is a committee of the Full Council and shall operate within the terms of reference set by Woughton Community Council.
2. Full Council to determine the appointment of members from time to time.
3. The quorum shall consist of 3 (three) members.
4. The Committee may co-opt any person who is not a member or officer of Woughton Community Council and shall have no voting rights. Each appointment will be reviewed at the annual meeting of Council in May.
5. The Committee shall determine appropriate budget headings for the Community Council and all matters appertaining to budget consultations and will coordinate budget preparations generally, bringing final proposals to the Council at its January meeting
6. The Committee shall monitor income and expenditure against the budget on a regular basis, shall approve virements as allowed under the financial regulations. It may recommend any review of the budget deemed necessary, and a half yearly review of the budget shall be undertaken each October.
7. The Committee shall ensure that the Council's financial procedures and systems are reviewed from time to time and that the Council abides by all statutory requirements in relation to its accounts and finances.
8. The Committee shall recommend to the Council all financial matters it feels are appropriate including an annual review of Financial Regulations.
9. The Committee shall review the Council's procedures and priorities from time to time and shall make appropriate recommendations to the Council.
10. The Committee shall undertake all issues relating to premises and equipment owned leased or rented and also any annual hire charges relating thereto.
11. The Committee shall be responsible for leading on Strategic Plans and all major policies of the Council and shall make recommendations as appropriate from time to time
12. The Committee shall review all policies of Woughton Community Council on an annual basis to ensure that they are fit for purpose and shall make recommendations to Council regarding adoption.



13. The Committee shall develop and recommend to full Council any policies that the Committee feels will improve the performance and efficiency of Woughton Community Council from time to time
14. The Committee shall be empowered to take any decision not prescribed by legislation or standing orders or the financial regulations of the Council that is not within the terms of reference of any other committee

**Adopted by a meeting of Council held on Monday 14<sup>th</sup> May 2018**

**Agenda item FC 15/18 (c)**

**Woughton Community Council**

**Accounts Sub – Committee**

**Terms of Reference**

1. The Accounts Sub – Committee is responsible and reports to the Policy and Resources Committee
2. The Sub Committee shall approve and monitor all expenditure and income of the Council and shall make recommendations on matters concerning procurement and audit and shall keep under review the Councils finance systems
3. The Sub Committee shall ensure that cheques are signed and arrange for Bank reconciliations are scrutinised and signed by a member of the Committee on a monthly basis
4. The Sub Committee shall ensure that timely payments to suppliers and others are made
5. The quorum shall consist of 3 members

**Adopted by a meeting of Council held on Monday 14<sup>th</sup> May 2018**

**Agenda item FC 15/18 (d)**

**Woughton Community Council**

**Services Committee**

**Terms of Reference and Delegated Powers**

1. The Services Committee is a committee of the full council and shall operate within the terms of reference set by Woughton Community Council.
2. Full Council to determine the appointment of members from time to time.
3. The quorum shall consist of 3 (three) members.
4. The Committee may co-opt any person who is not a member or officer of Woughton Community Council and shall have no voting rights. Each appointment shall be reviewed at the annual meeting of Council in May.
5. The Committee shall promote Community Development, Environment and Youth Work, to award grant aid monies according to the council's policies and criteria, to liaise with Milton Keynes Council, the Police, Environment Agency, British Waterways etc., to work with agencies and other organisations to obtain funding and or to work in partnership on specific projects and activities that will benefit all, or part of, the community in Woughton Parish
6. The Committee shall consider all aspects relating to matters concerning the Environment, transport, highways, crime and community safety, play areas, street lighting and antisocial behaviour as it relates to the Parish, and may approve projects within this remit.
7. The Committee shall review, monitor and develop services provided by the Council that impact on the social, environmental and wellbeing of the Community
8. The Committee may recommend budgets for its activities on an annual basis and may agree virements in accordance with Financial Regulations.
9. The Committee shall review the Grant Application Pack and Grant Aid Policy annually
10. Working within the Financial Regulations of the Council, the Committee shall have powers to
  - To approve and determine expenditure within budgets controlled by the committee
  - To approve grant aid up to a maximum of £2,000 to any one body at any one time (any grants larger than this may be recommended to Council for approval)

**Adopted by a meeting of Council held on Monday 14<sup>th</sup> May 2018**

**Agenda item FC 16/18**

**Committee Membership List**

2018/2019

Accounts Sub - Committee	Operations	Policy and Resources	Services
Cllr Pauline Prop Cllr Sue Smith Cllr Yvonne Tomlinson Cllr Thillai Visvendran Cllr Alan Williamson Cllr Kevin Wilson	Cllr Jordan Coventry Cllr Tina El-Shabrawy Cllr Donna Fuller Cllr Sue Luttmann Cllr Archibald Prempeh Cllr Pauline Prop Cllr Sue Smith Cllr Yvonne Tomlinson Cllr Thillai Visvendran Cllr Alan Williamson Cllr Kevin Wilson Cllr Geoff Woolmore	Cllr Shammi Akter Cllr Jordan Coventry Cllr Donna Fuller Cllr Pauline Prop Cllr Nick Scott Cllr Sue Smith Cllr Yvonne Tomlinson Cllr Thillai Visvendran Cllr Alan Williamson Cllr Kevin Wilson	Cllr Shammi Akter Cllr Donna Fuller Cllr Philip Hopcraft Cllr Sue Luttmann Cllr Archibald Prempeh Cllr Pauline Prop Cllr Nick Scott Cllr Sue Smith Cllr Alan Williamson Cllr Kevin Wilson Cllr Geoff Woolmore

**Agenda item: FC 28/18 a)**

**1. RULES OF DEBATE AT MEETINGS**

- a Motions on the agenda shall be considered in the order that they appear unless the order is changed at the discretion of the Chair of the meeting.
- b A motion (including an amendment) shall not be progressed unless it has been moved and seconded.
- c A motion on the agenda that is not moved by its proposer may be treated by the Chair of the meeting as withdrawn.
- d If a motion (including an amendment) has been seconded, it may be withdrawn by the proposer only with the consent of the seconder and the meeting.
- e An amendment is a proposal to remove or add words to a motion. It shall not negate the motion.
- f If an amendment to the original motion is carried, the original motion (as amended) becomes the substantive motion upon which further amendment(s) may be moved.
- g An amendment shall not be considered unless early verbal notice of it is given at the meeting and, if requested by the Chair of the meeting, is expressed in writing to the Chair.
- h A councillor may move an amendment to his / her own motion if agreed by the meeting. If a motion has already been seconded, the amendment shall be with the consent of the seconder and the meeting.
- i If there is more than one amendment to an original or substantive motion, the amendments shall be moved in the order directed by the Chair of the meeting.
- j Subject to standing order 1(k), only one amendment shall be moved and debated at a time, the order of which shall be directed by the Chair of the meeting.
- k One or more amendments may be discussed together if the Chair of the meeting considers this expedient but each amendment shall be voted upon separately.
- l A councillor may not move more than one amendment to an original or substantive motion.
- m The mover of an amendment has no right of reply at the end of debate on it.

- n Where a series of amendments to an original motion are carried, the mover of the original motion shall have a right of reply either at the end of debate on the first amendment or at the very end of debate on the final substantive motion immediately before it is put to the vote.
- o Unless permitted by the Chair of the meeting, a councillor may speak once in the debate on a motion except:
  - i. to speak on an amendment moved by another councillor;
  - ii. to move or speak on another amendment if the motion has been amended since s/he last spoke;
  - iii. to make a point of order;
  - iv. to give a personal explanation; or
  - v. to exercise a right of reply.
- p During the debate on a motion, a councillor may interrupt only on a point of order or a personal explanation and the councillor who was interrupted shall stop speaking. A councillor raising a point of order shall identify the standing order which he considers has been breached or specify the other irregularity in the proceedings of the meeting he is concerned by.
- q A point of order shall be decided by the Chair of the meeting and their decision shall be final.
- r When a motion is under debate, no other motion shall be moved except:
  - i. to amend the motion;
  - ii. to proceed to the next business;
  - iii. to adjourn the debate;
  - iv. to put the motion to a vote;
  - v. to ask a person to be no longer heard or to leave the meeting;
  - vi. to refer a motion to a committee or sub-committee for consideration;
  - vii. to exclude the public and press;
  - viii. to adjourn the meeting; or
  - ix. to suspend particular standing order(s) excepting those which reflect mandatory statutory or legal requirements.

- s Before an original or substantive motion is put to the vote, the Chair of the meeting shall be satisfied that the motion has been sufficiently debated and that the mover of the motion under debate has exercised or waived their right of reply.
- t Excluding motions moved under standing order 1(r), the contributions or speeches by a councillor shall relate only to the motion under discussion and shall not exceed 3 minutes without the consent of the Chair of the meeting.

## 2. **DISORDERLY CONDUCT AT MEETINGS**

- a No person shall obstruct the transaction of business at a meeting or behave offensively or improperly. If this standing order is ignored, the Chair of the meeting shall request such person(s) to moderate or improve their conduct.
- b If person(s) disregard the request of the Chair of the meeting to moderate or improve their conduct, any councillor or the Chair of the meeting may move that the person be no longer heard or be excluded from the meeting. The motion, if seconded, shall be put to the vote without discussion.
- c If a resolution made under standing order 2(b) is ignored, the Chair of the meeting may take further reasonable steps to restore order or to progress the meeting. This may include temporarily suspending or closing the meeting.

## 3. **MEETINGS GENERALLY**

- Full Council meetings •
- Committee meetings •
- Sub-committee meetings •

- a **Meetings shall not take place in premises which at the time of the meeting are used for the supply of alcohol, unless no other premises are available free of charge or at a reasonable cost.**
- b **The minimum three clear days for notice of a meeting does not include the day on which notice was issued, the day of the meeting, a Sunday, a day of the Christmas break, a day of the**

**Easter break or of a bank holiday or a day appointed for public thanksgiving or mourning.** The Council shall endeavour to provide 7 days notice of a meeting wherever possible.

- c The minimum three clear days' public notice for a meeting does not include the day on which the notice was issued or the day of the meeting unless the meeting is convened at shorter notice. Wherever possible, the Council shall provide 7 days notice of meetings.
- d **Meetings shall be open to the public unless their presence is prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons. The public's exclusion from part or all of a meeting shall be by a resolution which shall give reasons for the public's exclusion.**
- e Notice shall be published on the Council website and on an appropriate notice board no less than 3 working days and wherever possible, 7 days before the meeting.
- f Members of the public may make representations, answer questions and give evidence at a meeting which they are entitled to attend in respect of the business on the agenda.
- g The period of time designated for public participation at a meeting in accordance with standing order 3(e) shall not exceed 15 minutes unless directed by the Chair of the meeting.
- h Subject to standing order 3(f), a member of the public shall not speak for more than 10 minutes.
- i In accordance with standing order 3(e), a question shall not require a response at the meeting nor start a debate on the question. The Chair of the meeting may direct that a written or oral response be given.
- j A person shall raise their hand when requesting to speak (except when a person has a disability or is likely to suffer discomfort).
- k A person who speaks at a meeting shall direct his comments to the Chair of the meeting.
- l Only one person is permitted to speak at a time. If more than one person wants to speak, the Chair of the meeting shall direct the order of speaking.
- m **Subject to standing order 3(n), a person who attends a meeting is permitted to report on the meeting whilst the meeting is open to**



- the public. To “report” means to film, photograph, make an audio recording of meeting proceedings, use any other means for enabling persons not present to see or hear the meeting as it takes place or later or to report or to provide oral or written commentary about the meeting so that the report or commentary is available as the meeting takes place or later to persons not present.
- n A person present at a meeting may not provide an oral report or oral commentary about a meeting as it takes place without permission.
- o The press shall be provided with reasonable facilities for the taking of their report of all or part of a meeting at which they are entitled to be present.
- p Subject to standing orders which indicate otherwise, anything authorised or required to be done by, to or before the Chair of the Council may in his absence be done by, to or before the Vice-Chair of the Council.
- q The Chair of the Council, if present, shall preside at a meeting. If the Chair is absent from a meeting, the Vice-Chair of the Council if present, shall preside. If both the Chair and the Vice-Chair are absent from a meeting, a councillor as chosen by the councillors present at the meeting shall preside at the meeting.
- r Subject to a meeting being quorate, all questions at a meeting shall be decided by a majority of the councillors and non-councillors with voting rights present and voting.
- s The Chair of a meeting may give an original vote on any matter put to the vote, and in the case of an equality of votes may exercise his casting vote whether or not they gave an original vote.

*See standing orders 5(h) and (i) for the different rules that apply in the election of the Chair of the Council at the annual meeting of the Council.*

- t Unless standing orders provide otherwise, voting on a question shall be by a show of hands. At the request of a councillor, the voting on any question shall be recorded so as to show whether each councillor present and voting gave his vote for or against that question. Such a request shall be made before moving on to the next item of business on the agenda.

- u The minutes of a meeting shall include an accurate record of the following:
  - i. the time and place of the meeting;
  - ii. the names of councillors who are present and the names of councillors who are absent;
  - iii. interests that have been declared by councillors and non-councillors with voting rights;
  - iv. the grant of dispensations (if any) to councillors and non-councillors with voting rights;
  - v. whether a councillor or non-councillor with voting rights left the meeting when matters that they held interests in were being considered;
  - vi. if there was a public participation session; and
  - vii. the resolutions made.
- v **A councillor or a non-councillor with voting rights who has a disclosable pecuniary interest or another interest as set out in the Council's code of conduct in a matter being considered at a meeting is subject to statutory limitations or restrictions under the code on his right to participate and vote on that matter.**
- w **No business may be transacted at a meeting unless at least one-third of the whole number of members of the Council are present and in no case shall the quorum of a meeting be less than three.**

*See standing order 4d(viii) for the quorum of a committee or sub-committee meeting.*

- x **If a meeting is or becomes inquorate no business shall be transacted** and the meeting shall be closed. The business on the agenda for the meeting shall be adjourned to another meeting.
- y A meeting shall not exceed a period of 2 hours.

#### 4. COMMITTEES AND SUB-COMMITTEES

- a **Unless the Council determines otherwise, a committee may appoint a sub-committee whose terms of reference and members shall be determined by the committee.**
- b **The members of a committee may include non-councillors unless it is a committee which regulates and controls the finances of the Council.**
- c **Unless the Council determines otherwise, all the members of an advisory committee and a sub-committee of the advisory committee may be non-councillors.**
- d The Council may appoint standing committees or other committees as may be necessary, and:
  - i. shall determine their terms of reference;
  - ii. shall determine the number and time of the ordinary meetings of a standing committee up until the date of the next annual meeting of the Council;
  - iii. shall permit a committee, other than in respect of the ordinary meetings of a committee, to determine the number and time of its meetings;
  - iv. shall, subject to standing orders 4(b) and (c), appoint and determine the terms of office of members of such a committee;
  - v. may, subject to standing orders 4(b) and (c), appoint and determine the terms of office of the substitute members to a committee whose role is to replace the ordinary members at a meeting of a committee if the ordinary members of the committee confirm to the Proper Officer 4 hours before the meeting that they are unable to attend;
  - vi. shall, after it has appointed the members of a standing committee,

- appoint the Chair of the standing committee;
- vii. shall permit a committee other than a standing committee, to appoint its own Chair at the first meeting of the committee;
  - viii. shall determine the place, notice requirements and quorum for a meeting of a committee and a sub-committee which, in both cases, shall be no less than three;
  - ix. shall determine if the public may participate at a meeting of a committee;
  - x. shall determine if the public and press are permitted to attend the meetings of a sub-committee and also the advance public notice requirements, if any, required for the meetings of a sub-committee;
  - xi. shall determine if the public may participate at a meeting of a sub-committee that they are permitted to attend; and
  - xii. may dissolve a committee or a sub-committee.
- e Meetings of the Councils Committees and Sub Committees shall meet in public unless confidential matters legally exempted are to be discussed and notices of all such meetings shall be displayed and published in the same way as for meetings of the Full Council.

## 5. **ORDINARY COUNCIL MEETINGS**

- a **In an election year, the annual meeting of the Council shall be held on or within 14 days following the day on which the councillors elected take office.**
- b **In a year which is not an election year, the annual meeting of the Council shall be held on such day in May as the Council decides.**
- c **If no other time is fixed, the annual meeting of the Council shall take place at 6pm.**
- d **In addition to the annual meeting of the Council, at least three other ordinary meetings shall be held in each year on such dates and times as the Council decides.**
- e **The first business conducted at the annual meeting of the Council shall be the election of the Chair and Vice-Chair of the Council.**

- f The Chair of the Council, unless s/he has resigned or becomes disqualified, shall continue in office and preside at the annual meeting until his successor is elected at the next annual meeting of the Council.
- g The Vice-Chair of the Council, unless s/he resigns or becomes disqualified, shall hold office until immediately after the election of the Chair of the Council at the next annual meeting of the Council.
- h In an election year, if the current Chair of the Council has not been re-elected as a member of the Council, s/he shall preside at the annual meeting until a successor Chair of the Council has been elected. The current Chair of the Council shall not have an original vote in respect of the election of the new Chair of the Council but shall give a casting vote in the case of an equality of votes.
- i In an election year, if the current Chair of the Council has been re-elected as a member of the Council, s/he shall preside at the annual meeting until a new Chair of the Council has been elected. They may exercise an original vote in respect of the election of the new Chair of the Council and shall give a casting vote in the case of an equality of votes.
- j Following the election of the Chair of the Council and Vice-Chair of the Council at the annual meeting, the business shall include:
  - i. **In an election year, delivery by the Chair of the Council and councillors of their acceptance of office forms unless the Council resolves for this to be done at a later date. In a year which is not an election year, delivery by the Chair of the Council of his acceptance of office form unless the Council resolves for this to be done at a later date;**
  - ii. Election of Leader
  - iii. Election of Ambassador
  - iv. Confirmation of the accuracy of the minutes of the last meeting of the Council;
  - v. Receipt of the minutes of the last meeting of a committee;
  - vi. Consideration of the recommendations made by a committee;
  - vii. Review of delegation arrangements to committees, sub-committees, staff and other local authorities;
  - viii. Review of the terms of reference for committees;

- ix. Appointment of members to existing committees;
- x. Appointment of any new committees in accordance with standing order 4;
- xi. Review and adoption of appropriate standing orders and financial regulations;
- xii. Review of arrangements (including legal agreements) with other local authorities, not-for-profit bodies and businesses.
- xiii. Review of representation on or work with external bodies and arrangements for reporting back;
- xiv. In an election year, to make arrangements with a view to the Council becoming eligible to exercise the general power of competence in the future;
- xv. Review of inventory of land and other assets including buildings and office equipment;
- xvi. Confirmation of arrangements for insurance cover in respect of all insurable risks;
- xvii. Review of the Council's and/or staff subscriptions to other bodies;
- xviii. Review of Member Allowances
- xix. Review of the Council's complaints procedure;
- xx. Review of the Council's policies, procedures and practices in respect of its obligations under freedom of information and data protection legislation (*see also standing orders 11, 20 and 21*);
- xxi. Review of the Council's policy for dealing with the press/media;
- xxii. Review of the Council's employment policies and procedures;
- xxiii. Review of the Council's expenditure incurred under s.137 of the Local Government Act 1972 or the general power of competence.
- xxiv. Determining the time and place of ordinary meetings of the Council up to and including the next annual meeting of the Council.

6. **EXTRAORDINARY MEETINGS OF THE COUNCIL, COMMITTEES AND SUB-COMMITTEES**

- a **The Chair of the Council may convene an extraordinary meeting of the Council at any time.**
- b **If the Chair of the Council does not call an extraordinary meeting of the Council within seven days of having been requested in writing to do so by two councillors, any two councillors may convene an extraordinary meeting of the Council. The public notice giving the time, place and agenda for such a meeting shall be signed by the two councillors.**
- c The chair of a committee [or a sub-committee] may convene an extraordinary meeting of the committee [or the sub-committee] at any time.
- d If the Chair of a committee [or a sub-committee] does not call an extraordinary meeting within 7 days of having been requested to do so by 2 members of the committee [or the sub-committee], any 2 members of the committee [or the sub-committee] may convene an extraordinary meeting of the committee [or a sub-committee].

## 7. **PREVIOUS RESOLUTIONS**

- a A resolution shall not be reversed within six months except either by a special motion, which requires written notice by at least 6 councillors to be given to the Proper Officer in accordance with standing order 9, or by a motion moved in pursuance of the recommendation of a committee or a sub-committee.
- b When a motion moved pursuant to standing order 7(a) has been disposed of, no similar motion may be moved for a further six months.

## 8. **VOTING ON APPOINTMENTS**

- a Where more than two persons have been nominated for a position to be filled by the Council and none of those persons has received an absolute majority of votes in their favour, the name of the person having the least number of votes shall be struck off the list and a fresh vote taken. This process shall continue until a majority of votes is given in favour of one person. A tie in votes may be settled by the casting vote exercisable by the Chair of the meeting.

**9. MOTIONS FOR A MEETING THAT REQUIRE WRITTEN NOTICE TO BE GIVEN TO THE PROPER OFFICER**

- a A motion shall relate to the responsibilities of the meeting for which it is tabled and in any event shall relate to the performance of the Council's statutory functions, powers and obligations or an issue which specifically affects the Council's area or its residents.
- b No motion may be moved at a meeting unless it is on the agenda and the mover has given written notice of its wording to the Proper Officer at least 7 clear days before the meeting. Clear days do not include the day of the notice or the day of the meeting.
- c The Proper Officer may, before including a motion on the agenda received in accordance with standing order 9(b), correct obvious grammatical or typographical errors in the wording of the motion.
- d If the Proper Officer considers the wording of a motion received in accordance with standing order 9(b) is not clear in meaning, the motion shall be rejected until the mover of the motion resubmits it, so that it can be understood, in writing, to the Proper Officer at least 7 clear days before the meeting.
- e If the wording or subject of a proposed motion is considered improper, the Proper Officer shall consult with the Chair of the forthcoming meeting or, as the case may be, the councillors who have convened the meeting, to consider whether the motion shall be included in the agenda or rejected.
- f The decision of the Proper Officer as to whether or not to include the motion on the agenda shall be final.
- g Motions received shall be recorded and numbered in the order that they are received.
- h Motions rejected shall be recorded with an explanation by the Proper Officer of the reason for rejection.

**10. MOTIONS AT A MEETING THAT DO NOT REQUIRE WRITTEN NOTICE**



- a The following motions may be moved at a meeting without written notice to the Proper Officer:
- i. to correct an inaccuracy in the draft minutes of a meeting;
  - ii. to move to a vote;
  - iii. to defer consideration of a motion;
  - iv. to refer a motion to a particular committee or sub-committee;
  - v. to appoint a person to preside at a meeting;
  - vi. to change the order of business on the agenda;
  - vii. to proceed to the next business on the agenda;
  - viii. to require a written report;
  - ix. to appoint a committee or sub-committee and their members;
  - x. to extend the time limits for speaking;
  - xi. to exclude the press and public from a meeting in respect of confidential or other information which is prejudicial to the public interest;
  - xii. to not hear further from a councillor or a member of the public;
  - xiii. to exclude a councillor or member of the public for disorderly conduct;
  - xiv. to temporarily suspend the meeting;
  - xv. to suspend a particular standing order (unless it reflects mandatory statutory or legal requirements);
  - xvi. to adjourn the meeting; or
  - xvii. to close the meeting.

11. **MANAGEMENT OF INFORMATION**

*See also standing order 20.*

- a The Council shall have in place and keep under review, technical and organisational measures to keep secure information (including personal data) which it holds in paper and electronic form. Such

arrangements shall include deciding who has access to personal data and encryption of personal data.

- b The Council shall have in place, and keep under review, policies for the retention and safe destruction of all information (including personal data) which it holds in paper and electronic form. The Council's retention policy shall confirm the period for which information (including personal data) shall be retained or if this is not possible the criteria used to determine that period (e.g. the Limitation Act 1980).
- c The agenda, papers that support the agenda and the minutes of a meeting shall not disclose or otherwise undermine confidential information or personal data without legal justification.
- d Councillors, staff, the Council's contractors and agents shall not disclose confidential information or personal data without legal justification.

## 12. DRAFT MINUTES

- Full Council meetings •
- Committee meetings •
- Sub-committee meetings •

- a If the draft minutes of a preceding meeting have been served on councillors with the agenda to attend the meeting at which they are due to be approved for accuracy, they shall be taken as read.
- b There shall be no discussion about the draft minutes of a preceding meeting except in relation to their accuracy. A motion to correct an inaccuracy in the draft minutes shall be moved in accordance with standing order 10(a)(i).
- c The accuracy of draft minutes, including any amendment(s) made to them, shall be confirmed by resolution and shall be signed by the Chair of the meeting and stand as an accurate record of the meeting to which the minutes relate.
- d If the Chair of the meeting does not consider the minutes to be an accurate record of the meeting to which they relate, he shall sign the minutes and include a paragraph in the following terms or to the same

effect:

"The Chair of this meeting does not believe that the minutes of the meeting of the ( ) held on [date] in respect of ( ) were a correct record but his view was not upheld by the meeting and the minutes are confirmed as an accurate record of the proceedings."

- e If the Council's gross annual income or expenditure (whichever is higher) does not exceed £25,000, it shall publish draft minutes on a website which is publicly accessible and free of charge not later than one month after the meeting has taken place.
- f Subject to the publication of draft minutes in accordance with standing order 12(e) and standing order 20(a) and following a resolution which confirms the accuracy of the minutes of a meeting, the draft minutes or recordings of the meeting for which approved minutes exist shall be destroyed.

### 13. CODE OF CONDUCT AND DISPENSATIONS

*See also standing order 3(u).*

- a All councillors and non-councillors with voting rights shall observe the code of conduct adopted by the Council.
- b Unless he has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he has a disclosable pecuniary interest. They may sit on seats reserved for members of the public. They may return to the meeting after it has considered the matter in which he had the interest.
- c Unless s/he has been granted a dispensation, a councillor or non-councillor with voting rights shall withdraw from a meeting when it is considering a matter in which he has another interest if so required by the Council's code of conduct. They may return to the meeting after it has considered the matter in which he had the interest.
- d **Dispensation requests shall be in writing and submitted to the Proper Officer** as soon as possible before the meeting, or failing that, at the start of the meeting for which the dispensation is required.
- e A decision as to whether to grant a dispensation shall be made by a meeting of the Council, or committee or sub-committee for which the

dispensation is required and that decision is final.

- f A dispensation request shall confirm:
  - i. the description and the nature of the disclosable pecuniary interest or other interest to which the request for the dispensation relates;
  - ii. whether the dispensation is required to participate at a meeting in a discussion only or a discussion and a vote;
  - iii. the date of the meeting or the period (not exceeding four years) for which the dispensation is sought; and
  - iv. an explanation as to why the dispensation is sought.
- g Subject to standing orders 13(d) and (f), a dispensation request shall be considered by the Proper Officer before the meeting or, if this is not possible, at the start of the meeting for which the dispensation is required
- h **A dispensation may be granted in accordance with standing order 13(e) if having regard to all relevant circumstances any of the following apply:**
  - i. **without the dispensation the number of persons prohibited from participating in the particular business would be so great a proportion of the meeting transacting the business as to impede the transaction of the business;**
  - ii. **granting the dispensation is in the interests of persons living in the Council's area; or**
  - iii. **it is otherwise appropriate to grant a dispensation.**

#### 14. CODE OF CONDUCT COMPLAINTS

- a Upon notification by Milton Keynes Council that it is dealing with a complaint that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Proper Officer shall, subject to standing order 11, report this to the Council.
- b Where the notification in standing order 14(a) relates to a complaint made by the Proper Officer, the Proper Officer shall notify the Chair of Council of this fact, and the Chair shall nominate another staff member to assume the duties of the Proper Officer in relation to the complaint until it has been determined and the Council has agreed what action, if any, to take in accordance with standing order 14(d).

- c The Council may:
- i. provide information or evidence where such disclosure is necessary to investigate the complaint or is a legal requirement;
  - ii. seek information relevant to the complaint from the person or body with statutory responsibility for investigation of the matter;
- d **Upon notification by the Milton Keynes Council that a councillor or non-councillor with voting rights has breached the Council's code of conduct, the Council shall consider what, if any, action to take against him. Such action excludes disqualification or suspension from office.**

15. **PROPER OFFICER**

- a The Proper Officer shall be the Council manager, with responsibility being delegated to the Responsible Financial Officer to undertake the work of the Proper Officer when the Proper Officer is absent.
- b The Proper Officer shall:
- i. **at least three clear days, and wherever possible 7 days before a meeting of the council, a committee or a sub-committee,**
    - **serve on councillors by delivery or post at their residences or by email authenticated in such manner as the Proper Officer thinks fit, a signed summons confirming the time, place and the agenda (provided the councillor has consented to service by email), and**
    - **Provide, in a conspicuous place, public notice of the time, place and agenda (provided that the public notice with agenda of an extraordinary meeting of the Council convened by councillors is signed by them).**

*See standing order 3(b) for the meaning of clear days for a meeting of a full council and standing order 3(c) for the meaning of clear days for a meeting of a committee;*
  - ii. subject to standing order 9, include on the agenda all motions in the order received unless a councillor has given written notice at least 5 days before the meeting confirming his withdrawal of it;
  - iii. **convene a meeting of the Council for the election of a new**

**Chair of the Council, occasioned by a casual vacancy in his office;**

- iv. **facilitate inspection of the minute book by local government electors;**
- v. **receive and retain copies of byelaws made by other local authorities;**
- vi. hold acceptance of office forms from councillors;
- vii. hold a copy of every councillor's register of interests;
- viii. assist with responding to requests made under freedom of information legislation and rights exercisable under data protection legislation, in accordance with the Council's relevant policies and procedures;
- ix. liaise, as appropriate, with the Council's Data Protection Officer;
- x. receive and send general correspondence and notices on behalf of the Council except where there is a resolution to the contrary;
- xi. assist in the organisation of, storage of, access to, security of and destruction of information held by the Council in paper and electronic form subject to the requirements of data protection and freedom of information legislation and other legitimate requirements (e.g. the Limitation Act 1980);
- xii. arrange for legal deeds to be executed;  
*(see also standing order 23);*
- xiii. arrange or manage the prompt authorisation, approval, and instruction regarding any payments to be made by the Council in accordance with its financial regulations;
- xiv. record every planning application notified to the Council and the Council's response to the local planning authority in a book for such purpose;
- xv. refer a planning application received by the Council to the [Chair or in his absence the Vice-Chair (if there is one) of the Council] OR [Chair or in his absence Vice-Chair (if there is one) of the ( ) Committee] within two working days of receipt to facilitate an extraordinary meeting if the nature of a planning application requires consideration before the next ordinary meeting of [the Council] OR [( ) committee];
- xvi. manage access to information about the Council via the publication

scheme; and

- xvii. retain custody of the seal of the Council (if there is one) which shall not be used without a resolution to that effect.  
(see also standing order 23).

**16. RESPONSIBLE FINANCIAL OFFICER**

- a The Council shall appoint appropriate staff member(s) to undertake the work of the Responsible Financial Officer when the Responsible Financial Officer is absent.

**17. ACCOUNTS AND ACCOUNTING STATEMENTS**

- a "Proper practices" in standing orders refer to the most recent version of "Governance and Accountability for Local Councils – a Practitioners' Guide".
- b All payments by the Council shall be authorised, approved and paid in accordance with the law, proper practices and the Council's financial regulations.
- c The Responsible Financial Officer shall supply to each councillor as soon as practicable after 30 June, 30 September and 31 December in each year a statement to summarise:
  - i. the Council's receipts and payments (or income and expenditure) for each quarter;
  - ii. the Council's aggregate receipts and payments (or income and expenditure) for the year to date;
  - iii. the balances held at the end of the quarter being reported and which includes a comparison with the budget for the financial year and highlights any actual or potential overspends.
- d As soon as possible after the financial year end at 31 March, the Responsible Financial Officer shall provide:
  - i. each councillor with a statement summarising the Council's receipts and payments (or income and expenditure) for the last quarter and the year to date for information; and

- ii. to the Council the accounting statements for the year in the form of Section 1 of the annual governance and accountability return, as required by proper practices, for consideration and approval.
- e. The year-end accounting statements shall be prepared in accordance with proper practices and apply the form of accounts determined by the Council (receipts and payments, or income and expenditure) for the year to 31 March. A completed draft annual governance and accountability return shall be presented to all councillors at least 14 days prior to anticipated approval by the Council. The annual governance and accountability return of the Council, which is subject to external audit, including the annual governance statement, shall be presented to the Council for consideration and formal approval before 30 June.

## **18. FINANCIAL CONTROLS AND PROCUREMENT**

- a. The Council shall consider and approve financial regulations drawn up by the Responsible Financial Officer, which shall include detailed arrangements in respect of the following:
  - i. the keeping of accounting records and systems of internal controls;
  - ii. the assessment and management of financial risks faced by the Council;
  - iii. the work of the independent internal auditor in accordance with proper practices and the receipt of regular reports from the internal auditor, which shall be required at least annually;
  - iv. the inspection and copying by councillors and local electors of the Council's accounts and/or orders of payments; and
  - v. whether contracts with an estimated value below £25,000 due to special circumstances are exempt from a tendering process or procurement exercise.
- b. Financial regulations shall be reviewed regularly and at least annually for fitness of purpose.
- c. A public contract regulated by the Public Contracts Regulations 2015 with an estimated value in excess of £25,000 but less than the relevant thresholds in standing order 18(f) is subject to Regulations 109-114 of the Public Contracts Regulations 2015 which include a requirement on the Council to advertise the contract opportunity on the Contracts Finder website regardless of what other means it



uses to advertise the opportunity.

- d. Subject to additional requirements in the financial regulations of the Council, the tender process for contracts for the supply of goods, materials, services or the execution of works shall include, as a minimum, the following steps:
  - i. a specification for the goods, materials, services or the execution of works shall be drawn up;
  - ii. an invitation to tender shall be drawn up to confirm (i) the Council's specification (ii) the time, date and address for the submission of tenders (iii) the date of the Council's written response to the tender and (iv) the prohibition on prospective contractors contacting councillors or staff to encourage or support their tender outside the prescribed process;
  - iii. the invitation to tender shall be advertised in a local newspaper and in any other manner that is appropriate;
  - iv. tenders are to be submitted in writing in a sealed marked envelope addressed to the Proper Officer;
  - v. tenders shall be opened by the Proper Officer in the presence of at least one councillor after the deadline for submission of tenders has passed;
  - vi. tenders are to be reported to and considered by the appropriate meeting of the Council or a committee or sub-committee with delegated responsibility.
- e. Neither the Council, nor a committee or a sub-committee with delegated responsibility for considering tenders, is bound to accept the lowest value tender.
- f. A public contract regulated by the Public Contracts Regulations 2015 with an estimated value in excess of £181,302 for a public service or supply contract or in excess of £4,551,413 for a public works contract (or other thresholds determined by the European Commission every two years and published in the Official Journal of the European Union (OJEU)) shall comply with the relevant procurement procedures and other requirements in the Public Contracts Regulations 2015 which include advertising the contract opportunity on the Contracts Finder website and in OJEU.
- g. A public contract in connection with the supply of gas, heat, electricity, drinking water, transport services, or postal services to the public; or the provision of a port or airport; or the exploration

for or extraction of gas, oil or solid fuel with an estimated value in excess of £363,424 for a supply, services or design contract; or in excess of £4,551,413 for a works contract; or £820,370 for a social and other specific services contract (or other thresholds determined by the European Commission every two years and published in OJEU) shall comply with the relevant procurement procedures and other requirements in the Utilities Contracts Regulations 2016.

**19. HANDLING STAFF MATTERS**

- a A matter personal to a member of staff that is being considered by a meeting of Council OR the Policy and Resources committee is subject to standing order 11.
- b Subject to the Council's policy regarding absences from work, the Council's most senior member of staff shall notify the Chair of Policy and Resources committee if s/he is not available, the vice-chair of the Policy and Resources committee of absence occasioned by illness or other reason and that person shall report such absence to the Policy and Resources committee at its next meeting.
- c The chair of the Policy and Resources committee or in his absence, the vice-chair shall upon a resolution conduct a review of the performance and annual appraisal of the work of the Council Manager. The reviews and appraisal shall be reported in writing and are subject to approval by resolution by the Policy and Resources Committee.
- d Subject to the Council's policy regarding the handling of grievance matters, the Council's most senior member of staff (or other members of staff) shall contact the chair of the Policy and Resources committee or in his absence, the vice-chair of the Policy and Resources committee in respect of an informal or formal grievance matter, and this matter shall be reported back and progressed by resolution of the Policy and Resources committee.
- e Subject to the Council's policy regarding the handling of grievance matters, if an informal or formal grievance matter raised by the Council Manager relates to the chair or vice-chair of the Policy and Resources committee, this shall be communicated to another member of the Policy and Resources committee, which shall be reported back and progressed by resolution of the Policy and Resources committee.

- f Any persons responsible for all or part of the management of staff shall treat as confidential the written records of all meetings relating to their performance, capabilities, grievance or disciplinary matters.
- g The council shall keep all records relating to employees secure. All paper copies shall be secured and electronic records shall be password protected, encrypted or stored in limited access files within the agreed company drive.
- h In accordance with standing order 11(a), persons with line management responsibilities shall have access to staff records referred to in standing order 19(f).

20. **RESPONSIBILITIES TO PROVIDE INFORMATION**

*See also standing order 21.*

- a **In accordance with freedom of information legislation, the Council shall publish information in accordance with its publication scheme and respond to requests for information held by the Council.**
- b **The Council, shall publish information in accordance with the requirements of the Local Government (Transparency Requirements) (England) Regulations 2015.**

21. **RESPONSIBILITIES UNDER DATA PROTECTION LEGISLATION**  
(Below is not an exclusive list).

*See also standing order 11.*

- a **The Council shall appoint a Data Protection Officer.**
- b **The Council shall have policies and procedures in place to respond to an individual exercising statutory rights concerning his personal data.**
- c **The Council shall have a written policy in place for responding to and managing a personal data breach.**

- d The Council shall keep a record of all personal data breaches comprising the facts relating to the personal data breach, its effects and the remedial action taken.
- e The Council shall ensure that information communicated in its privacy notice(s) is in an easily accessible and available form and kept up to date.
- f The Council shall maintain a written record of its processing activities.

22. **RELATIONS WITH THE PRESS/MEDIA**

- a Requests from the press or other media for an oral or written comment or statement from the Council, its councillors or staff shall be handled in accordance with the Council's policy in respect of dealing with the press and/or other media.

23. **EXECUTION AND SEALING OF LEGAL DEEDS**

*See also standing orders 15(b)(xii) and (xvii).*

- a A legal deed shall not be executed on behalf of the Council unless authorised by a resolution.
- b **Subject to standing order 23(a), any two councillors may sign, on behalf of the Council, any deed required by law and the Proper Officer shall witness their signatures.**

24. **COMMUNICATING WITH DISTRICT AND COUNTY OR UNITARY COUNCILLORS**

- a An invitation to attend a meeting of the Council shall be sent, together with the agenda, to the ward councillor(s) of the Milton Keynes Unitary Council representing the area of the Council.
- b Unless the Council determines otherwise, a copy of each letter sent to Milton Keynes Unitary Council shall be sent to the ward councillor(s) representing the area of the Council.

25. **RESTRICTIONS ON COUNCILLOR ACTIVITIES**

- a. Unless duly authorised no councillor shall:
  - i. inspect any land and/or premises which the Council has a right or duty to inspect; or
  - ii. issue orders, instructions or directions.

26. **STANDING ORDERS GENERALLY**

- a All or part of a standing order, except one that incorporates mandatory statutory or legal requirements, may be suspended by resolution in relation to the consideration of an item on the agenda for a meeting.
- b A motion to add to or vary or revoke one or more of the Council's standing orders, except one that incorporates mandatory statutory or legal requirements, shall be proposed by a special motion, the written notice by at least 3 councillors to be given to the Proper Officer in accordance with standing order 9 above.
- c The Proper Officer shall provide a copy of the Council's standing orders to a councillor as soon as possible.
- d The decision of the Chair of a meeting as to the application of standing orders at the meeting shall be final.

**Adopted by a meeting of Council held on Monday 14<sup>th</sup> May 2018.**

**WOUGHTON COMMUNITY COUNCIL  
FINANCIAL REGULATIONS**

**INDEX**

1.	<u>GENERAL</u> .....	2
2.	<u>ACCOUNTING AND AUDIT (INTERNAL AND EXTERNAL)</u> .....	5
3.	<u>ANNUAL ESTIMATES (BUDGET) AND FORWARD PLANNING</u> .....	6
4.	<u>BUDGETARY CONTROL AND AUTHORITY TO SPEND</u> .....	8
5.	<u>BANKING ARRANGEMENTS AND AUTHORISATION OF PAYMENTS</u> .....	9
6.	<u>INSTRUCTIONS FOR THE MAKING OF PAYMENTS</u> .....	11
7.	<u>PAYMENT OF SALARIES</u> .....	13
8.	<u>LOANS AND INVESTMENTS</u> .....	14
9.	<u>INCOME</u> .....	15
10.	<u>ORDERS FOR WORK, GOODS AND SERVICES</u> .....	16
11.	<u>CONTRACTS</u> .....	16
12.	<u>PAYMENTS UNDER CONTRACTS FOR BUILDING OR OTHER CONSTRUCTION WORKS</u> ...	18
13.	<u>STORES AND EQUIPMENT</u> .....	18
14.	<u>ASSETS, PROPERTIES AND ESTATES</u> .....	18
15.	<u>INSURANCE</u> .....	19
16.	<u>RISK MANAGEMENT</u> .....	20
17.	<u>SUSPENSION AND REVISION OF FINANCIAL REGULATIONS</u> .....	20

These Financial Regulations were adopted by the Council at its Meeting held on [.....]

## GENERAL

- 1.1. These financial regulations govern the conduct of financial management by the council and may only be amended or varied by resolution of the council. Financial regulations are one of the council's three governing policy documents providing procedural guidance for members and officers. Financial regulations must be observed in conjunction with the council's standing orders<sup>1</sup> and any individual financial regulations relating to contracts.
- 1.2. The council is responsible by law for ensuring that its financial management is adequate and effective and that the council has a sound system of internal control which facilitates the effective exercise of the council's functions, including arrangements for the management of risk.
- 1.3. The council's accounting control systems must include measures:
  - for the timely production of accounts;
  - that provide for the safe and efficient safeguarding of public money;
  - to prevent and detect inaccuracy and fraud; and
  - Identifying the duties of officers.
- 1.4. These financial regulations demonstrate how the council meets these responsibilities and requirements.
- 1.5. At least once a year, prior to approving the Annual Governance Statement, the council must review the effectiveness of its system of internal control which shall be in accordance with proper practices.
- 1.6. Deliberate or wilful breach of these Regulations by an employee may give rise to disciplinary proceedings.
- 1.7. Members of Council are expected to follow the instructions within these Regulations and not to entice employees to breach them. Failure to follow instructions within these Regulations brings the office of councillor into disrepute.
- 1.8. The Responsible Financial Officer (RFO) holds a statutory office to be appointed by the council.
- 1.9. The RFO;

- acts under the policy direction of the council;
  - administers the council's financial affairs in accordance with all Acts, Regulations and proper practices;
  - determines on behalf of the council its accounting records and accounting control systems;
  - ensures the accounting control systems are observed;
  - maintains the accounting records of the council up to date in accordance with proper practices;
  - assists the council to secure economy, efficiency and effectiveness in the use of its resources; and
  - Produces financial management information as required by the council.
  - As far as practicably possible the RFO should consult with an appropriate lead member, before exercising any significant change or power afforded by these regulations.
- 1.10. The accounting records determined by the RFO shall be sufficient to show and explain the council's transactions and to enable the RFO to ensure that any income and expenditure account and statement of balances, or record of receipts and payments and additional information, as the case may be, or management information prepared for the council from time to time comply with the Accounts and Audit Regulations.
- 1.11. The accounting records determined by the RFO shall in particular contain:
- entries from day to day of all sums of money received and expended by the council and the matters to which the income and expenditure or receipts and payments account relate;
  - a record of the assets and liabilities of the council; and
  - Wherever relevant, a record of the council's income and expenditure in relation to claims made, or to be made, for any contribution, grant or subsidy.
- 1.12. The accounting control systems determined by the RFO shall include:
- procedures to ensure that the financial transactions of the council are recorded as soon as reasonably practicable and as accurately and reasonably as possible;
  - procedures to enable the prevention and detection of inaccuracies and fraud and the ability to reconstruct any lost records;



- identification of the duties of officers dealing with financial transactions and division of responsibilities of those officers in relation to significant transactions;
- procedures to ensure that uncollectable amounts, including any bad debts are submitted to the council for approval to be written off except with the approval of the RFO and that the approvals are shown in the accounting records; and
- Measures to ensure that risk is properly managed.

1.13. The council is not empowered by these Regulations or otherwise to delegate certain specified decisions. In particular any decision regarding:

- setting the final budget or the precept (council tax requirement);
- approving accounting statements;
- approving an annual governance statement;
- borrowing;
- writing off bad debts;
- declaring eligibility for the General Power of Competence; and
- addressing recommendations in any report from the internal or external auditors,

Shall be a matter for the full council only.

1.14. In addition the council must:

- determine and keep under regular review the bank mandate for all council bank accounts;
- approve any grant or a single commitment in excess of £25,000; and
- In respect of the annual salary for any employee have regard to recommendations about annual salaries of employees made by the relevant committee in accordance with its terms of reference.

1.15. In these financial regulations, references to the Accounts and Audit Regulations or 'the regulations' shall mean the regulations issued under the provisions of section 27 of the Audit Commission Act 1998, or any superseding legislation, and then in force unless otherwise specified.

In these financial regulations the term 'proper practice' or 'proper practices' shall refer to guidance issued in *Governance and Accountability for Local Councils - a Practitioners' Guide (England)* issued by the Joint Practitioners Advisory Group (JPAG), available from the websites of NALC and the Society for Local Council Clerks (SLCC).

- 1.16. These financial Regulations should be read in conjunction with the Councils Standing Orders, Scheme of Delegation and Tendering Procedures.

## **ACCOUNTING AND AUDIT (INTERNAL AND EXTERNAL)**

- 1.17. All accounting procedures and financial records of the council shall be determined by the RFO in accordance with the Accounts and Audit Regulations, appropriate guidance and proper practices.
- 1.18. On a regular basis, at least once in each quarter, and at each financial year end, a member other than the Chairman or a cheque signatory, who is named an official member of the Accounts Sub-Committee shall be appointed to verify bank reconciliations (for all accounts) produced by the RFO. The member shall sign the reconciliations and the original bank statements (or similar document) as evidence of verification. This activity shall on conclusion be reported, including any exceptions, to and noted by the council Policy and Resources Committee.
- 1.19. The RFO shall complete the annual statement of accounts, annual report, and any related documents of the council contained in the Annual Return (as specified in proper practices) as soon as practicable after the end of the financial year and having certified the accounts shall submit them and report thereon to the council within the timescales set by the Accounts and Audit Regulations.
- 1.20. The council shall ensure that there is an adequate and effective system of internal audit of its accounting records, and of its system of internal control in accordance with proper practices. Any officer or member of the council shall make available such documents and records as appear to the council to be necessary for the purpose of the audit and shall, as directed by the council, supply the RFO, internal auditor, or external auditor with such information and explanation as the council considers necessary for that purpose.
- 1.21. The internal auditor shall be appointed by and shall carry out the work in relation to internal controls required by the council in accordance with proper practices.
- 1.22. The internal auditor shall:
- be competent and independent of the financial operations of the council;
  - report to council in writing, or in person, on a regular basis with a minimum of one annual written report during each financial year;
  - to demonstrate competence, objectivity and independence, be free from any actual or perceived conflicts of interest, including those arising from family relationships; and

- Have no involvement in the financial decision making, management or control of the council.
- 1.23. Internal or external auditors may not under any circumstances:
- perform any operational duties for the council;
  - initiate or approve accounting transactions; or
  - Direct the activities of any council employee, except to the extent that such employees have been appropriately assigned to assist the internal auditor.
- 1.24. For the avoidance of doubt, in relation to internal audit the terms 'independent' and 'independence' shall have the same meaning as is described in proper practices.
- 1.25. The RFO shall make arrangements for the exercise of electors' rights in relation to the accounts including the opportunity to inspect the accounts, books, and vouchers and display or publish any notices and statements of account required by Audit Commission Act 1998, or any superseding legislation, and the Accounts and Audit Regulations.
- 1.26. The RFO shall, without undue delay, bring to the attention of all councillors any correspondence or report from internal or external auditors.

## **ANNUAL ESTIMATES (BUDGET) AND FORWARD PLANNING**

- 1.27. The RFO shall review its three year forecast of revenue and capital receipts and payments. Having regard to the forecast, it shall thereafter formulate and submit proposals for the following financial year to the council not later than the end of October each year including any proposals for revising the forecast.
- 1.28. The RFO must each year, by no later than October prepare detailed estimates of all receipts and payments including the use of reserves and all sources of funding for the following financial year in the form of a budget to be considered by the council and subsequently subject to public consultation.
- 1.29. The council shall consider annual budget proposals in relation to the council's three year forecast of revenue and capital receipts and payments including recommendations for the use of reserves and sources of funding and update the forecast accordingly.
- 1.30. The council shall fix the precept (council tax requirement), and relevant basic amount of council tax to be levied for the ensuing financial year not later than by the end of January each year. The RFO shall issue the precept to the billing authority and shall supply each member with a copy of the approved annual budget.

- 1.31. The approved annual budget shall form the basis of financial control for the ensuing year.

## BUDGETARY CONTROL AND AUTHORITY TO SPEND

- 1.32. Expenditure on revenue items may be authorised up to the amounts included for that class of expenditure in the approved budget. This authority is to be determined by:
- the council for all items over £25,000
  - a duly delegated committee of the council for items over the limit of £10,000 or
  - The Council Manager, in conjunction with Chair of the Council or Chair of the appropriate committee and the appropriate Lead Member for any items above £2000 but below £10,000
  - The Council Manager or other Officer delegated by the Council Manager, for amounts less than £2000 except where the expenditure or item is thought significant, controversial or sensitive. In such cases the appropriate lead member should be consulted.

Such authority is to be evidenced by a minute or by an authorisation slip duly signed by the Council Manager, and where necessary also by the appropriate Lead Member.

Contracts may not be disaggregated to avoid controls imposed by these regulations.

- 1.33. No expenditure may be authorised that will exceed the amount provided in the revenue budget for that class of expenditure other than by resolution of the council, or duly delegated committee. During the budget year and with the approval of council having considered fully the implications for public services, unspent and available amounts may be moved to other budget headings or to an earmarked reserve as appropriate ('virement').
- 1.34. Unspent provisions in the revenue or capital budgets for completed projects shall not be carried forward to a subsequent year, without agreement of Policy and Resources Committee and Full Council.
- 1.35. The salary budgets are to be reviewed at least annually in September for the following financial year and such review shall be evidenced by a hard copy schedule signed by the Council Manager and the appropriate Lead Member. The RFO will inform committees of any changes impacting on their budget requirement for the coming year in good time.
- 1.36. In cases of extreme risk to the delivery of council services, the Council Manager may authorise revenue expenditure on behalf of the council which in the Council Manager's judgement it is necessary to carry out. Such expenditure includes repair, replacement or other work, whether or not there is any budgetary provision for the expenditure, subject to a limit of £20,000. The Clerk shall report such action to the chairman as soon as possible and to the council as soon as practicable thereafter.

- 1.37. No expenditure shall be authorised in relation to any capital project and no contract entered into or tender accepted involving capital expenditure unless the council is satisfied that the necessary funds are available and the requisite borrowing approval has been obtained.
- 1.38. All capital works shall be administered in accordance with the council's standing orders and financial regulations relating to contracts.
- 1.39. The RFO shall regularly provide the council with a statement of receipts and payments to date under each head of the budgets, comparing actual expenditure to the appropriate date against that planned as shown in the budget. These statements are to be prepared at least at the end of each financial quarter and shall show explanations of material variances. For this purpose "material" shall be in excess of £1000 or 15% of the budget.
- 1.40. Changes in earmarked reserves shall be approved by council as part of the budgetary control process.

## **BANKING ARRANGEMENTS AND AUTHORISATION OF PAYMENTS**

- 1.41. The council's banking arrangements, including the bank mandate, shall be made by the RFO and approved by the council; banking arrangements may not be delegated to a committee. They shall be regularly reviewed for safety and efficiency.
- 1.42. The RFO shall prepare a schedule of payments requiring authorisation, forming part of the Agenda for the Meeting and, together with the relevant invoices, present the schedule to the Accounts Sub-Committee and the Policy and Resources Committee. The committee shall review the schedule for compliance and, having satisfied itself shall authorise payment by a resolution of the Accounts Sub-Committee or Policy and Resources Committee. The approved schedule shall be ruled off and initialled by the Chairman of the Meeting. A detailed list of all payments shall be disclosed within or as an attachment to the minutes of the meeting at which payment was authorised. Personal payments (including salaries, wages, expenses and any payment made in relation to the termination of a contract of employment) may be summarised to remove public access to any personal information.
- 1.43. All invoices for payment shall be examined, verified and certified by the RFO to confirm that the work, goods or services to which each invoice relates has been received, carried out, examined and represents expenditure previously approved by the council.
- 1.44. The RFO shall examine invoices for arithmetical accuracy and analyse them to the appropriate expenditure heading. The RFO shall take all steps to pay all invoices submitted, and which are in order, at the next available Accounts Sub-Committee meeting, and report extended to the Policy and Resources Committee.

- 1.45. The Council Manager and RFO shall have delegated authority to authorise the payment of items only in the following circumstances:
- a) If a payment is necessary to avoid a charge to interest under the Late Payment of Commercial Debts (Interest) Act 1998, and the due date for payment is before the next scheduled Meeting of council, where the Clerk and RFO certify that there is no dispute or other reason to delay payment, provided that a list of such payments shall be submitted to the next appropriate meeting of Accounts Sub-Committee and report extended to the Policy and Resources Committee.
  - b) An expenditure item authorised under 5.6 below (continuing contracts and obligations) provided that a list of such payments shall be submitted to the next appropriate meeting of Accounts Sub-Committee and report extended to the Policy and Resources Committee.
  - c) Fund transfers within the councils banking arrangements provided that a list of such payments shall be submitted to the next appropriate meeting of Accounts Sub-Committee and report extended to the Policy and Resources Committee.
  - d) In extenuating circumstances when the RFO determines that it is in the best interest of the Council.
- 1.46. For each financial year the Clerk and RFO shall draw up a list of due payments which arise on a regular basis as the result of a continuing contract, statutory duty, or obligation (such as but not exclusively Salaries, PAYE and NI, Superannuation Fund and regular maintenance contracts and the like for which council, or a duly authorised committee) may authorise payment for the year provided that the requirements of regulation 4.1 (Budgetary Controls) are adhered to, provided also that a list of such payments shall be submitted to the next appropriate meeting of Accounts Sub-Committee and report extended to the Policy and Resources Committee.
- 1.47. A record of regular payments made under 5.6 above shall be drawn up and be signed by two members of the Accounts sub-committee on each and every occasion when payment is authorised - thus controlling the risk of duplicated payments being authorised and / or made.
- 1.48. In respect of grants a duly authorised committee shall approve expenditure within any limits set by council and in accordance with any policy statement approved by council. Any Revenue or Capital Grant in excess of £30,000 shall before payment, be subject to ratification by resolution of the council.
- 1.49. Members are subject to the Code of Conduct that has been adopted by the council and shall comply with the Code and Standing Orders when a decision to authorise or instruct payment is made in respect of a matter in which they have a disclosable pecuniary or other interest, unless a dispensation has been granted.
- 1.50. The council will aim to rotate the duties of members in these Regulations so that onerous duties are shared out as evenly as possible over time.

- 1.51. Any changes in the recorded details of suppliers, such as bank account records, shall be approved in writing by the RFO and an appropriate Member.

## **INSTRUCTIONS FOR THE MAKING OF PAYMENTS**

- 1.52. The council will make safe and efficient arrangements for the making of its payments.
- 1.53. Following authorisation under Financial Regulation 5 above, the council, a duly delegated committee or, if so delegated, the Council Manager or RFO shall give instruction that a payment shall be made.
- 1.54. All payments shall be effected by cheque or other instructions to the council's bankers, or otherwise, in accordance with a resolution of council (or duly delegated committee).
- 1.55. Cheques or orders for payment drawn on the bank account in accordance with the schedule as presented to council or appropriate committee shall be signed by two members of council, and countersigned by the RFO, in accordance with a resolution instructing that payment. If a member, who is also a bank signatory has declared a disclosable pecuniary interest, or has any other interest in the matter in respect of which the payment is being made, that Councillors shall be required to consider Standing Orders, and thereby determine whether it is appropriate and/or permissible to be a signatory to the transaction in question.
- 1.56. To indicate agreement of the details shown on the cheque or order for payment with the counterfoil and the invoice or similar documentation, the signatories shall each also initial the cheque counterfoil.
- 1.57. Cheques or orders for payment shall not normally be presented for signature other than at an Accounts Sub-Committee or Policy and Resources Committee (including immediately before or after such a meeting). Any signatures obtained away from such meetings shall be reported to the Accounts Sub-Committee or Policy and Resources Committee at the next convenient meeting.
- 1.58. If thought appropriate by the council, payment for utility supplies (energy, telephone and water) and any National Non-Domestic Rates may be made by variable direct debit provided that the instructions are signed by two members and any payments are reported to council as made. The approval of the use of a variable direct debit shall be renewed by resolution of the council at least every two years.
- 1.59. If thought appropriate by the council, payment for certain items (principally salaries) may be made by banker's standing order provided that the instructions are signed, or otherwise evidenced by two members are retained and any payments are reported to council as made. The approval of the use of a banker's standing order shall be renewed by resolution of the council at least every two years.



- 1.60. If thought appropriate by the council, payment for certain items may be made by BACS or CHAPS methods provided that the instructions for each payment are signed, or otherwise evidenced, by two authorised bank signatories, are retained and any payments are reported to council as made.
- 1.61. If thought appropriate by the council payment for certain items may be made by internet banking transfer provided evidence is retained showing which members approved the payment.
- 1.62. Where a computer requires use of a personal identification number (PIN) or other password(s), for access to the council's records on that computer, a note shall be made of the PIN and Passwords and shall be handed to and retained by the Chair of Council in a sealed dated envelope. This envelope may not be opened other than in the presence of two other councillors. After the envelope has been opened, in any circumstances, the PIN and / or passwords shall be changed as soon as practicable. The fact that the sealed envelope has been opened, in whatever circumstances, shall be reported to all members immediately and formally to the next available meeting of the council. This will not be required for a member's personal computer used only for remote authorisation of bank payments.
- 1.63. No employee or councillor shall disclose any PIN or password, relevant to the working of the council or its bank accounts, to any person not authorised in writing by the council or a duly delegated committee.
- 1.64. Regular back-up copies of the records on any computer shall be made and shall be stored securely away from the computer in question, and preferably off site.
- 1.65. The council, and any members using computers for the council's financial business, shall ensure that anti-virus, anti-spyware and firewall software with automatic updates, together with a high level of security, is used.
- 1.66. Where internet banking arrangements are made with any bank, RFO shall be appointed as the Service Administrator. The bank mandate approved by the council shall identify a number of councillors who will be authorised to approve transactions on those accounts. The bank mandate will state clearly the amounts of payments that can be instructed by the use of the Service Administrator alone, or by the Service Administrator with a stated number of approvals.
- 1.67. Access to any internet banking accounts will be directly to the access page (which may be saved under "favourites"), and not through a search engine or e-mail link. Remembered or saved passwords facilities must not be used on any computer used for council banking work. Breach of this Regulation will be treated as a very serious matter under these regulations.
- 1.68. Changes to account details for suppliers, which are used for internet banking may only be changed on written hard copy notification by the supplier and supported by hard copy authority for change signed by two of the Council Manager, the RFO or an

appropriate member. A programme of regular checks of standing data with suppliers will be followed.

- 1.69. Any Debit Card issued for use will be specifically restricted to the Council Manager, the RFO and the Service Manager and will also be restricted to a single transaction maximum value of £1000 unless authorised by council or finance committee in writing before any order is placed.
- 1.70. A pre-paid debit card may be issued to employees with varying limits. These limits will be set by the Accounts Sub Committee. Transactions and purchases made will be reported to the Accounts Sub Committee.
- 1.71. Any corporate credit card or trade card account opened by the council will be specifically restricted to use by the Council Manager and RFO or as otherwise delegated and shall be subject to automatic payment in full at each month-end. Personal credit or debit cards of members or staff shall not be used under any circumstances.
- 1.72. The RFO may provide petty cash to officers for the purpose of defraying operational and other expenses. Vouchers for payments made shall be forwarded to the RFO with a claim for reimbursement.
  - a) The RFO shall maintain a petty cash float of £100 for the purpose of defraying operational and other expenses. Vouchers for payments made from petty cash shall be kept to substantiate the payment.
  - b) Income received must not be paid into the petty cash float but must be separately banked, as provided elsewhere in these regulations.
  - c) Payments to maintain the petty cash float shall be shown separately on the schedule of payments presented to council under 5.2 above.

## **PAYMENT OF SALARIES**

- 1.72. As an employer, the council shall make arrangements to meet fully the statutory requirements placed on all employers by PAYE and National Insurance legislation. The payment of all salaries shall be made in accordance with payroll records and the rules of PAYE and National Insurance currently operating, and salary rates shall be as agreed by council, or duly delegated committee.
- 1.73. Payment of salaries and payment of deductions from salary such as may be required to be made for tax, national insurance and pension contributions, or similar statutory or discretionary deductions must be made in accordance with the payroll records and on the appropriate dates stipulated in employment contracts, provided that each

payment is reported to the next available council meeting, as set out in these regulations above.

- 1.74. No changes shall be made to any employee's pay, emoluments, or terms and conditions of employment without the prior consent of the council.
- 1.75. Each and every payment to employees of net salary and to the appropriate creditor of the statutory and discretionary deductions shall be recorded in a separate confidential record (confidential cash book). This confidential record is not open to inspection or review (under the Freedom of Information Act 2000 or otherwise) other than:
  - a) by any councillor who can demonstrate a need to know;
  - b) by the internal auditor;
  - c) by the external auditor; or
  - d) By any person authorised under Audit Commission Act 1998, or any superseding legislation.
- 1.76. The total of such payments in each calendar month shall be reported with all other payments as made as may be required under these Financial Regulations, to ensure that only payments due for the period have actually been paid.
- 1.77. An effective system of personal performance management should be maintained for the senior officers.
- 1.78. Any termination payments shall be supported by a clear business case and reported to the council. Termination payments shall only be authorised by council.
- 1.79. Before employing interim staff the council must consider a full business case.

## **LOANS AND INVESTMENTS**

- 1.80. All borrowings, loans and investments shall be effected in the name of the council, after obtaining any necessary borrowing approval. Any application for borrowing approval shall be approved by Council as to terms and purpose. The application for borrowing approval, and subsequent arrangements for the loan shall only be approved by full council.
- 1.81. Any financial arrangement which does not require formal borrowing approval from the Secretary of State/Welsh Assembly Government (such as Hire Purchase or Leasing of tangible assets) shall be subject to approval by the full council. In each case a report in writing shall be provided to council in respect of value for money for the proposed transaction.
- 1.82. The RFO will provide an electronic copy of each bank statement to the Chair of the Council if requested.

- 1.83. All loans and investments shall be negotiated in the name of the council and shall be for a set period in accordance with council policy.
- 1.84. The council shall consider the need for an Investment Strategy and Policy which, if drawn up, shall be in accordance with relevant regulations, proper practices and guidance. Any Strategy and Policy shall be reviewed by the council at least annually.
- 1.85. All investments of money under the control of the council shall be in the name of the council.
- 1.86. All investment certificates and other documents relating thereto shall be retained in the custody of the RFO.
- 1.87. Payments in respect of short term or long term investments, including transfers between bank accounts held in the same bank, or branch, shall be made in accordance with Regulation 5 (Authorisation of payments) and Regulation 6 (Instructions for payments).

## **INCOME**

- 1.88. The collection of all sums due to the council shall be the responsibility of and under the supervision of the RFO.
- 1.89. Particulars of all charges to be made for work done, services rendered or goods supplied shall be agreed annually by the council, notified to the RFO and the RFO shall be responsible for the collection of all accounts due to the council.
- 1.90. The council will review all fees and charges at least annually, following a report of the RFO.
- 1.91. Any sums found to be irrecoverable and any bad debts shall be reported to the council and shall be written off in the year.
- 1.92. All sums received on behalf of the council shall be banked intact as directed by the RFO. In all cases, all receipts shall be deposited with the council's bankers with such frequency as the RFO considers necessary.
- 1.93. The origin of each receipt shall be entered on the paying-in slip.
- 1.94. Personal cheques shall not be cashed out of money held on behalf of the council.
- 1.95. The RFO shall promptly complete any VAT Return that is required. Any repayment claim due in accordance with VAT Act 1994 section 33 shall be made at least annually coinciding with the financial year end.
- 1.96. Where any significant sums of cash are regularly received by the council, the RFO shall take such steps as are agreed by the council to ensure that more than one person is present when the cash is counted in the first instance, that there is a reconciliation

to some form of control such as ticket issues, and that appropriate care is taken in the security and safety of individuals banking such cash.

## **ORDERS FOR WORK, GOODS AND SERVICES**

- 1.97. An official order or letter shall be issued for all work, goods and services in excess of £100 unless a formal contract is to be prepared or an official order would be inappropriate. Copies of orders shall be retained.
- 1.98. Order books shall be controlled by the RFO.
- 1.99. All members and officers are responsible for obtaining value for money at all times. An officer issuing an official order shall ensure as far as reasonable and practicable that the best available terms are obtained in respect of each transaction, usually by obtaining three or more quotations or estimates from appropriate suppliers, subject to any *de minimis* provisions in Regulation 11.1 below.
- 1.100. A member may not issue an official order or make any contract on behalf of the council.
- 1.101. The RFO shall verify the lawful nature of any proposed purchase before the issue of any order, and in the case of new or infrequent purchases or payments, the RFO shall ensure that the statutory authority shall be reported to the meeting at which the order is approved so that the minutes can record the power being used.

## **CONTRACTS**

- 1.102. Procedures as to contracts are laid down as follows:
  - a. Every contract shall comply with these financial regulations, and no exceptions shall be made otherwise than in an emergency provided that this regulation need not apply to contracts which relate to items (i) to (vi) below:
    - i. for the supply of gas, electricity, water, sewerage and telephone services;
    - ii. for specialist services such as are provided by solicitors, accountants, surveyors and planning consultants;
    - iii. for work to be executed or goods or materials to be supplied which consist of repairs to or parts for existing machinery or equipment or plant;
    - iv. for work to be executed or goods or materials to be supplied which constitute an extension of an existing contract by the council;
    - v. for additional audit work of the external auditor up to an estimated value of £500 (in excess of this sum the Council Manager and RFO shall act after

consultation with the Chair and Vice Chair of The Policy and Resources Committee; and

- vi. For goods or materials proposed to be purchased which are proprietary articles and / or are only sold at a fixed price.
- b. Where it is intended to enter into a contract exceeding £25,000 in value for the supply of goods or materials or for the execution of works or specialist services, other than such goods, materials, works or specialist services as are excepted as set out in paragraph (a) the Council Manager or RFO shall invite tenders from at least three firms to be taken from the appropriate approved list.
- c. When applications are made to waive financial regulations relating to contracts to enable a price to be negotiated without competition the reason shall be embodied in a recommendation to the council.
- d. Such invitation to tender shall state the general nature of the intended contract and the Council Manager and RFO shall obtain the necessary technical assistance to prepare a specification in appropriate cases. The invitation shall in addition state that tenders must be addressed to the Council Manager/RFO in the ordinary course of post. Each tendering firm shall be supplied with a specifically marked envelope in which the tender is to be sealed and remain sealed until the prescribed date for opening tenders for that contract.
- e. All sealed tenders shall be opened at the same time on the prescribed date by the Council Manager and RFO in the presence of at least one member of council.
- f. Any invitation to tender issued under this regulation shall be subject to any relevant Standing Order and shall refer to the terms of the Bribery Act 2010.
- g. If less than three tenders are received for contracts above £25,000 or if all the tenders are identical the council may make such arrangements as it thinks fit for procuring the goods or materials or executing the works.
- h. When it is to enter into a contract of less than £25,000 in value for the supply of goods or materials or for the execution of works or specialist services other than such goods, materials, works or specialist services as are excepted as set out in paragraph (a) the Council Manager or RFO shall obtain 3 quotations (priced descriptions of the proposed supply); where the value is below £3,000 and above £1000 the Council Manager or RFO shall strive to obtain 3 estimates. Otherwise, Regulation 10.3 above shall apply.  
  
*(These arrangements may be waived in relation to goods and services procured through Milton Keynes Council if, in the opinion of the RFO, these represent value for Money)*
- i. The council shall not be obliged to accept the lowest or any tender, quote or estimate.

- j. Should it occur that the council, or duly delegated committee, does not accept any tender, quote or estimate, the work is not allocated and the council requires further pricing, provided that the specification does not change, no person shall be permitted to submit a later tender, estimate or quote who was present when the original decision making process was being undertaken.
- k. The European Union Procurement Directive shall apply and the terms of the Public Contracts Regulations 2006 and the Utilities Contracts Regulations 2006 including thresholds shall be followed and works shall be published on the Contracts site.

### **PAYMENTS UNDER CONTRACTS FOR BUILDING OR OTHER CONSTRUCTION WORKS (PUBLIC WORKS CONTRACTS)**

- 1.103. Payments on account of the contract sum shall be made within the time specified in the contract by the RFO upon authorised certificates of the architect or other consultants engaged to supervise the contract (subject to any percentage withholding as may be agreed in the particular contract).
- 1.104. Where contracts provide for payment by instalments the RFO shall maintain a record of all such payments. In any case where it is estimated that the total cost of work carried out under a contract, excluding agreed variations, will exceed the contract sum of 5% or more a report shall be submitted to the council.
- 1.105. Any variation to a contract or addition to or omission from a contract must be approved by the council and Council Manager to the contractor in writing, the council being informed where the final cost is likely to exceed the financial provision.

### **STORES AND EQUIPMENT**

- 1.106. The officer in charge of each section shall be responsible for the care and custody of stores and equipment in that section.
- 1.107. Delivery notes shall be obtained in respect of all goods received into store or otherwise delivered and goods must be checked as to order and quality at the time delivery is made.
- 1.108. Stocks shall be kept at the minimum levels consistent with operational requirements.
- 1.109. The RFO shall be responsible for periodic checks of stocks and stores at least annually.

### **ASSETS, PROPERTIES AND ESTATES**

- 1.110. The Council Manager shall make appropriate arrangements for the custody of all title deeds and Land Registry Certificates of properties held by the council. The RFO shall ensure a record is maintained of all properties held by the council, recording the location, extent, plan, reference, purchase details, nature of the interest, tenancies granted, rents payable and purpose for which held in accordance with Accounts and Audit Regulations.
- 1.111. No tangible moveable property shall be purchased or otherwise acquired, sold, leased or otherwise disposed of, without the authority of the council, together with any other consents required by law, save where the estimated value of any one item of tangible movable property does not exceed £500.
- 1.112. No real property (interests in land) shall be sold, leased or otherwise disposed of without the authority of the council, together with any other consents required by law. In each case a report in writing shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate).
- 1.113. No real property (interests in land) shall be purchased or acquired without the authority of the full council. In each case a report in writing shall be provided to council in respect of valuation and surveyed condition of the property (including matters such as planning permissions and covenants) together with a proper business case (including an adequate level of consultation with the electorate).
- 1.114. Subject only to the limit set in Regulation 14.2 above, no tangible moveable property shall be purchased or acquired without the authority of the full council. In each case a report in writing shall be provided to council with a full business case.
- 1.115. The RFO shall ensure that an appropriate and accurate Register of Assets and Investments is kept up to date. The continued existence of tangible assets shown in the Register shall be verified at least annually, possibly in conjunction with a health and safety inspection of assets.

## **INSURANCE**

- 1.116. Following the annual risk assessment (per Regulation 17), the RFO shall effect all insurances and negotiate all claims on the council's insurers.
- 1.117. The Council Manager shall give prompt notification to the RFO of all new risks, properties or vehicles which require to be insured and of any alterations affecting existing insurances.



- 1.118. The RFO shall keep a record of all insurances effected by the council and the property and risks covered thereby and annually review it.
- 1.119. The RFO shall be notified of any loss liability or damage or of any event likely to lead to a claim, and shall report these to council at the next available meeting.
- 1.120. All appropriate members and employees of the council shall be included in a suitable form of security or fidelity guarantee insurance which shall cover the maximum risk exposure as determined by the council, or duly delegated committee.

## **RISK MANAGEMENT**

- 1.121. The council is responsible for putting in place arrangements for the management of risk. The Council Manager shall prepare, for approval by the council, risk management policy statements in respect of all activities of the council. Risk policy statements and consequential risk management arrangements shall be reviewed by the council at least annually.
- 1.122. When considering any new activity, the RFO shall prepare a draft risk assessment including risk management proposals for consideration and adoption by the council.

## **SUSPENSION AND REVISION OF FINANCIAL REGULATIONS**

- 1.123. It shall be the duty of the council to review the Financial Regulations of the council from time to time. The RFO shall make arrangements to monitor changes in legislation or proper practices and shall advise the council of any requirement for a consequential amendment to these Financial Regulations.
- 1.124. The council may, by resolution of the council duly notified prior to the relevant meeting of council, suspend any part of these Financial Regulations provided that reasons for the suspension are recorded and that an assessment of the risks arising has been drawn up and presented in advance to all members of council.

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